



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 9938**  
Hiroshi TAKANASHI et al. : Docket No. 2001\_0476  
Serial No. 09/838,118 : Group Art Unit 1752  
Filed April 20, 2001 : Examiner S. Lee

NEGATIVE-WORKING PHOTOSENSITIVE  
RESIN COMPOSITION AND  
PHOTOSENSITIVE RESIN PLATE USING  
THE SAME

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449.

A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
1. Enclosed is a JPO Office Action (=Notification of Reasons for Rejection; Reasons 1 and 2) for the corresponding Japanese Patent Application No. 10-071513 (Dispatch date: October 16, 2003, Drafting date: October 14, 2003), an English translation thereof, and References 1- 5 and English abstracts thereof cited in the Office Action.
  2. Assignee of this application filed an argument on December 15, 2003 at the JPO for the Notification of Reasons for Rejection.
  3. The Decision of Rejection by the JPO was dispatched on January 16, 2004, indicating the application should be rejected for Reason 1 as described in the Notification of Reasons for Rejection drafted on October 14, 2003. No additional references were cited in this Decision. Enclosed is the Decision of Rejection and an English translation thereof.
  4. Assignee filed an Appeal Brief on June 11, 2004 and an Amendment on March 17, 2004 for the Decision of Rejection.
  5. The Japanese application is now under examination.
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroshi TAKANASHI et al.

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June 14, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 14, 2004

ATTY DOCKET NO.  
2001\_0476SERIAL NO.  
09/838,118APPLICANT  
Hiroshi TAKANASHI et al.FILING DATE  
April 20, 2001GROUP  
1752

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	10-10742	1/1998	Japan			abstract	
	AK	08-220766	8/1996	Japan			abstract	
	AL	09-034110	2/1997	Japan			abstract	
	AM	09-288974	11/1997	Japan			abstract	
	AN	09-025360	1/1997	Japan			abstract	

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED